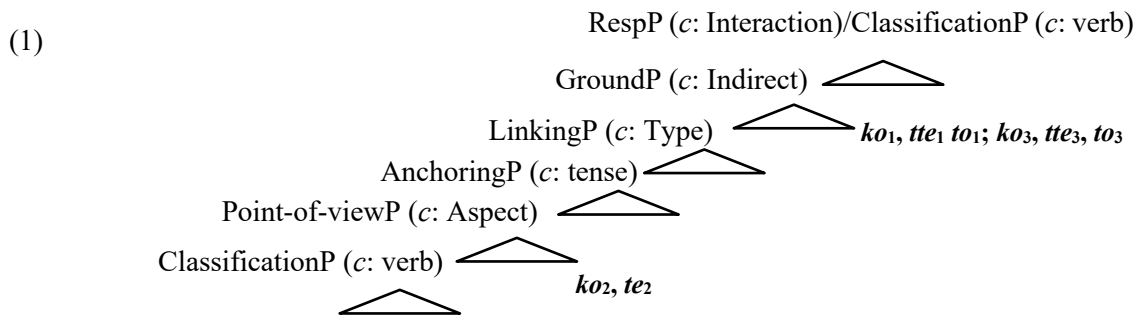


MORPHOSYNTACTIC MULTIFUNCTIONALITY OF KOREAN *KO* AND JAPANESE *TO/TTE/TE*: A COMPARATIVE STUDY*

Hailey Hyekyeong Ceong
University of Victoria

A multifunctional morphosyntactic element is a functional item that behaves as different functional markers sharing an identical morphophonological form in specific syntactic environments (Lefebvre 1998, Travis 2005, Wiltschko 2014). Multifunctional elements are ubiquitous across languages such as Fongbe and Haitian (Lefebvre 1998), Japanese (Okamoto and Ono 2008, Hirose and Nawata 2016), and Spanish (Bruhn de Garavito 2000, Corr 2016). For instance, the Ibero-Romance marker *que* has four functions: finite complementizer, quotative, exclamative, and conjunctive (Corr 2016). The English function word *that* is also multifunctional: it can appear as a demonstrative, a relativizer, an adverb, or a complementizer. The Korean and Japanese functional suffixes—*ko* in Korean and *to* (or *tte*) in Japanese¹—which are referred to as complementizers in the literature (Sells 1995, Uchibori 2000, Yeon 2008, Saito 2015, Yoshida 2019), among numerous other terms (Bhatt and Yoon 1991, Fukui 1995, Munakata 2007, Sohn 2011, Shimamura 2018), are also multifunctional markers but show their multifunctionality differently from the English complementizer *that*.² In this paper I assume that *ko* and *to/tte/te* can perform different kinds of functions in different morphosyntactic contexts, and I compare their multifunctional properties for the first time.

The functional markers *ko* and *to/tte/te* in (1) demonstrate morphological instances of formatives that appear to be correlated but do not show identical distributions.



*Primary data reported in this paper is drawn from my own native speaker's knowledge of Korean and advanced speaker's knowledge of Japanese; secondary data is from sources in the literature.

¹ *Tte* (*te* before [n]) is phonologically quite unique, in that it is the only functional marker in Japanese with a geminate in the onset position (R. Suzuki 2008). *tte* has been considered as the allomorph of *to* in informal speech in the Japanese literature (Okamoto 1996, Hayashi 1997, Shibasaki 2007; R. Suzuki 2008, Hirose and Nawata 2016). However, Hirose and Nawata (2016) disagree with this view based on their observation that the distribution of *to* and *tte* do not unconditionally overlap.

² I do not present a survey of how *ko* and *to/tte* have been described in the literature here. Munakata (2007) presents a survey of the various approaches to the Japanese *to*: i) a quotative (Fukui 1995); ii) a complementizer (Uchibori 2000); and iii) an inherent case marker (Motomura 2002).

2015), this study compares the morphosyntactic functions of Korean *ko* and Japanese *tte*. As we will see below, the Japanese *tte* shows a slightly different distribution from *ko*.

2. The distributional properties of Korean *ko* and Japanese *to/tte/te*

This section is concerned with the three comparable distributions of Korean *ko* and Japanese *to/tte/te*. The three syntactic contexts are identified: i) dependent clausal complements (Section 2.1); ii) matrix clauses in second-hand speech (Section 2.2); and imperfective and serial verb (V–V) constructions (Section 2.3).

2.1 Dependent clausal complements

Korean *ko* and Japanese *to/tte* in dependent clausal complements have been categorized as complementizers (Yeon 2008, Yoshida 2019), quotatives (Fukui 1995, Munakata 2007, Sohn 2011), or quotative complementizers (R. Suzuki 2007; Saito 2012, 2015; Hirose and Nawata 2016). They are obligatory in a dependent clause, as indicated in (3), but neither *ko* (3a) nor *to/tte* (3b) occurs in matrix declarative clauses.⁴

- (3) a. [_{CP} *pro* [_{CP} koyngcangha-ta]-*(**ko**) malha-yess-ta]-(*ko) (Korean)
 be terrible -DEC-KO say-PST-DEC
 ‘*pro* said that it was terrible.’ (intended)
- b. [_{CP} *pro* [_{CP} sugoi-∅]-*(**tte/to**) i -ta-∅]-(*tte/*to) (Japanese)
 be terrible-DEC-TTE/TO say-PST-DEC
 ‘*pro* said that it was terrible.’ (intended)

Superficially, the distribution of *ko* and *to/tte* is similar to the English complementizer *that*. Their selectional properties, however, are quite different: unlike *that*, which selects a TP/IP as a complement (Bošković and Lasnik 2003), *ko* and *to/tte* select CP as a complement, as shown in (3). At first glance, the Japanese *to/tte* in (3b) seems to take TP rather than CP as a complement. However, under the assumption that the CP structure of declarative and interrogative clauses is identical (except that a FORCE/MOOD feature on either the head or the operator is different), and on the basis of different morphological encoding of elements in T-C domains in various types of clauses in Japanese, I argue that *to/tte* takes CP as its complement, and a null declarative morpheme C_{DEC}, indicated by ∅, is presented in (3b).⁵ As shown in (4), interrogative *ka* (4a) and propositional/finite complementizer *no* with a *wh*-word (4b) can occupy the head of CP with a FORCE/MOOD feature; these interrogative clausal complements are embedded by *to/tte*.

- (4) a. [_{CP} *pro* [_{CP} sugoi-**ka**]-tte/to tazune-ta-∅] (Japanese)
 be terrible-INT-TTE/TO say-PST-DEC
 ‘*pro* asked if it was terrible; *pro* asked, was it terrible?’

⁴ The subject of a clause, as a discourse topic, can often be dropped in interactive communication in Korean and Japanese, so the sentences with covert subjects in (3) are grammatical.

⁵ This micro difference between two languages in the CP domain is also noted in Yeon (2008).

- b. [_{CP} Hideki-ga [_{CP} boku-ga dare-to atta-**no**]-to itta- \emptyset] (Japanese)
 Hideki-NOM I-NOM who-with met-COMP-TO said-DEC
 ‘Hideki said, ‘Who did I meet?’ (cf. Munakata 2007:176)
- c. [_{CP} *pro* [_{CP} koyngcangha-**nya**]-ko mwul-ess-ta] (Korean)
 be terrible-INT-KO ask-PST-DEC
 ‘*pro* asked if it was terrible.’

Like *ka*, the Korean interrogative clausal complement is headed by interrogative *nya-*, as shown in (4c). As the head *-nya* is replaced with *-ta* in the declarative complement in Korean, and under the assumption of Universal Grammar that declaratives in Korean and in Japanese must have the same structure, it follows that a null declarative morpheme C_{DEC} must occupy the same position as the interrogative *ka* in Japanese.

The assumption of a null C_{DEC} in Japanese is further supported by the fact that the Korean and Japanese verb stems interact with C_{IMP} even in embedded clauses. The Korean imperative marker *la* in *o-la* ‘come’ (5a) occupies the position where the declarative *ta* and interrogative *-nya* occur (Han and Lee 2007). Similarly, the verb stem *ko-i* ‘Come!’ in the embedded imperative clause in Japanese, as in (5b), contrasts with the verb stems of the declarative *ku-ru* ‘(he) comes’ in the present and *ki-ta* ‘(he) came’ in the past tense.

- (5) a. [_{CP} Taroo-ga ne-poko [_{CP} ppalli o-**la**]-ko kulay-ss-**ta**-y] (Korean)
 Taroo-NOM you-DAT quickly come-IMP-KO say so-PST-DEC-HEARSAY
 ‘*pro* said that Taroo ordered you to come quickly.’
- b. [_{CP} Taroo-ga kimi-ni [_{CP} hayaku koi-]-tte i-ta- \emptyset -**tte**] (Japanese)
 Taroo-NOM you-DAT quickly come.IMP-TTE say-PST-DEC-HEARSAY
 ‘*pro* said that Taroo ordered you to come quickly.’

The alternating verb stems and tense forms in different types of clauses in Japanese and the overt different clause-typing markers in Korean support the existence of a null C_{DEC} in declarative clauses in Japanese. Consequently, CP with a FORCE/MOOD feature must be presented in both embedded and matrix CPs. Therefore, *ko* and *to/tte*, taking a CP with a FORCE/MOOD feature as a complement in the CP domain, must be subordinating complementizers.

The subordinating nature of *ko* and *to/tte* and their dissimilarity with the English complementizer *that* are further confirmed by the fact that they do not occur in non-subordinating contexts, as shown in (6).

- (6) a. [_{CP} [_{CP} **That** the fans’ attitude towards the umpire is frosty] applies to any sport]
- b. [_{CP} [_{CP} simphan-ul hyangha-n phayn-uy nwun-i kop-ci anh-un-**kes**/***ta-ko**]-un,
 referee-ACC toward-PST fan-GEN eye-NOM soft-COMP not-PST-COMP-TOP
 enu suphochu-na machankaci-i-ta] (Korean)
 which sport-also same-be-DEC
 ‘That the fan’s attitude towards the umpire is frosty applies to any sport.’

- c. [CP [CP referī-ni mukerareru fan-no me-ga hiyahiyakana-**no**/*-**to**/*-**tte**]-wa,
 referee-LOC towards fan-GEN eye-NOM be frosty.PRES-COMP-TOP
 dono supōtsu de mo issho-da-∅] (Japanese)
 which sport be also same-be.PST-DEC
 ‘That the fan’s attitude towards the umpire is frosty applies to any sport.’
 (Kaiser et al. 2013: 526)

In (6a), *that* sits in CP in the subject position. In the similar syntactic contexts of Korean and Japanese, the complementizers *-kes* (6b) and *-no* (6c) appear as the head of the clause corresponding to (6a). In this context, the complex complementizers *ta-ko* and \emptyset -*to* are disallowed. Thus, CP-*ko* and CP-*to* only occur as a clausal complement of attitude and communicative verbs in the structure (cf. Motomura 2002). The properties of CP-*ko* and CP-*to* complements are syntactically marked differently from DP complements of the same kinds of verbs: CP complements cannot be marked by an accusative case marker in either Korean or Japanese, as in (7). The DP complements of the verbs of saying such as *malha*-‘say’ and *i*-‘say’ are marked by the accusative case marker *ul/lul* in Korean (e.g., *cinsil-ul malha-la* ‘Say the truth’) and *o*- in Japanese (e.g., *hone-o i-e* ‘Say the real intention’).

- (7) a. [CP *pro* [CP koyngcangha-ta]-ko-(***lul**) malha-yess-ta] (Korean)
 be terrible -DEC-KO say-PST-DEC
 ‘*pro* said that it was terrible.’
 b. [CP *pro* [CP sugoi-∅]-tte/to-(***o**) it-ta-∅] (Japanese)
 be terrible-DEC-TTE/TO say-PST-DEC
 ‘*pro* said that it was terrible.’

The overt double-layered morphosyntactic encoding of embedded CPs in Korean and Japanese suggests that *ko* and *to/tte* are a kind of complementizers that differs from complementizers with a FORCE/MOOD feature. It is CP with a FORCE/MOOD feature, not *ko* or *to/tte*, that selects a TP/IP in Korean and Japanese.

So far, I have discussed that *ko*-clauses in Korean and *to/tte*-clauses in Japanese have a similar non-nominal/clausal nature: they are incompatible with an accusative case marker and are restricted in the subject position. These traits confirm the subordinating nature of *ko* and *to/tte*. Comparing the distribution of complementizers in three typologically distinct languages shows that the nature of the complementizer category is heterogeneous.⁶ At least, based on the distribution of overt complementizers in Korean and Japanese, three different kinds of complementizers are identified: C_{FORCE/TYPE/MOOD}: {KOREAN *ta*, *nya*, *la*}; {JAPANESE *ka*}, C_{DEPENDENT/SUBORDINATION}: {KOREAN *ko*}; {JAPANESE *to/tte*}, and C_{THETA}: {KOREAN *kes*}; {JAPANESE *no*}.

Now I turn our attention to another micro difference between Korean and Japanese morphosyntax. This might be related to *ko* and *to/tte* having different grammaticalization paths, but I do not consider that possibility here. Instead, by showing the different

⁶ Bhatt and Yoon (1991) and Fukui (1995) also address their different distributions.

morphosyntactic systems of Korean and Japanese, I show where the differences in the morphosyntactic properties of *ko* and *to/tte* reside in the CP domain.

One of the controversies over the properties of *to/tte* in the Japanese literature is whether the clause embedded by *to/tte* is direct or indirect speech (Munakata 2007). According to Munakata, *to/tte* can embed both.⁷

- (8) a. [Hideki-ga [boku-ga Norito-to atta-Ø]-**to/tte** itta-Ø] (Japanese)
 Hideki-NOM I.m-NOM Norito-with met-DEC-TO said
 ‘Hidiki said that I (=the male speaker) met Norito.’
 ‘Hideki said, ‘I (= Hideki) met Norito/Hideki said that he met Norito’
 (cf. Munakata 2007:176)

This ambiguity is less controversial in Korean, where the difference between direct and indirect speech is marked overtly in morphosyntax. A separate direct quotative marker *lako* or *hako* (King 1994) links a parenthetical matrix clause and a quoted clause, as in (9a). The underspecified clause-typing complementizer *-e* is allowed in direct speech (9a) but disallowed in indirect speech (9b-e).⁸

- (9) a. [_{CP} H-ka [_{CP} nay-ka N-hako mannass-ta/e] **hako/lako** malhayss-ta]
 H-NOM I-NOM N-with met-COMP QUOT said-DEC
 ‘H said, ‘I (=H) met N.’
- b. [_{CP} H-ka [_{CP} nay-ka N-hako mannass-ta/(**e*)]-**ko** malhayss-ta]
 ‘H said that I (=the speaker) met N.’
- c. [_{CP} H-ka [_{CP} caki-ka N-hako mannass-ta/(**e*)]-**ko** malhayss-ta]
 ‘H said that self (=H) met N.’
- d. [_{CP} H-ka [_{CP} PRO N-hako mannass-ta/(**e*)]-**ko** malhayss-ta]
 ‘H said that he (=H) met N.’
- e. [_{CP} H-ka [_{CP} ku-ka N-hako mannass-ta/(**e*)]-**ko** malhayss-ta]
 ‘H said that he (≠H) met N.’

In (9a) the first pronoun *nay-* refers to H, who said the simple declarative marked by the direct quotation marker *hako* or *lako*, while in (9b) the first pronoun *nay-* refers to the speaker who uttered the whole complex sentence, a person other than H. In (9c), the anaphoric third-person pronoun *caki* ‘self’ refers to H. In (9d), a null pronoun in a control clause, i.e. *PRO*, refers to H, who is also the subject of the matrix clause. In (9e), the third-person pronoun *ku* ‘he’ is neither H nor the speaker, so it must be a referent identified as third person by the speech participants. Therefore, the distinction between direct and

⁷ It seems that Munakata (2007) assumes that *tte* and *to* are quotative if they embed direct speech and complementizers if they embed indirect speech.

⁸ Ceong (2019) analyzes the so-called sentence final particle *-e* in intimate speech as an underspecified clause-typing complementizer, as it can fill the C of declarative, interrogative, and imperative in matrix clauses.

indirect speech is explicit in Korean, unlike Japanese. As observed by Yeon (2008), Korean shows less structural ambiguity than Japanese.

In sum, *ko* and *to/tte* show distinct but comparable distributions. In Korean, the clausal subordinator *ko* embeds a clausal complement, while the quotative *hako* or *lako* links a quoted constituent and a parenthetical clause ‘*pro said*’. In Japanese, *to/tte* performs both functions. The declarative marker is morphophonologically overtly encoded in Korean but is covert in Japanese. These morphophonological differences in functional markers between the two languages sketch out the superficial difference in the linear order. However, I argue that the syntactic structure of CP in Korean and Japanese is identical, as assumed in Universal Grammar.

(10) The morphosyntax of dependent declarative clauses

- a. [VP [CP:DEPEND [CP:DEC *-ta*] *-ko*] v⁰] (Korean)
 b. [VP [CP:DEPEND [CP:DEC \emptyset] *-to/tte*] v⁰] (Japanese)

The two discrete markers found in the CP domains in Korean and Japanese support the split CPs (Saito 2012, 2015; Ceong 2019). In what follows, I discuss the distribution of *ko* and *to/tte* in matrix/independent clauses, as indicated in bold in (11c) and (11d).

(11) The morphosyntax of independent clauses in first-and second-hand speech

- a. [CP: DIRECT [CP:DEC [VP [CP:DEPEND [CP:DEC *ta*] *ko*] v⁰] *ta*] \emptyset] (Korean)
 b. [CP: DIRECT [CP:DEC [VP [CP:DEPEND [CP:DEC \emptyset] *to/tte*] v⁰] \emptyset] \emptyset] (Japanese)
 c. [CP: INDIRECT [CP:DEC [VP [CP:DEPEND [CP:DEC *ta*] *ko*] v⁰] *ta*] ***ko***] (Korean)
 d. [CP: INDIRECT [CP:DEC [VP [CP:DEPEND [CP:DEC \emptyset] *to/tte*] v⁰] \emptyset] ***to/tte***] (Japanese)

2.2 Matrix clauses in second-hand speech: Echo and hearsay constructions

The syntactic properties of *ko* and *to/tte* in complex sentences where they occur as a complement of attitude or communicative matrix verbs, as discussed above, has prompted a number of syntactic analyses in the literature. Most analyses in generative grammar (Bhatt and Yoon 1991, Fukui 1995, Saito 2012, 2015, Yoshida 2019) limit their discussion to the distribution of *ko* and *to/tte* in embedded clauses and leave out their distribution in matrix clauses, where they are equipped with pragmatic functions. This is probably because simple clauses marked by *ko* and *to/tte* are ungrammatical, as illustrated in (12).

- (12) a. **phihay-ka taytanha-ta-ko* (Korean)
 damage-NOM be terrible-DEC-KO
 ‘The damage was terrible.’ (Intended)
- b. **sugoi- \emptyset -to/tte* (Japanese)
 be terrible-DEC-TO/TTE
 ‘It was terrible.’ (Intended)

However, the same clause can be interpreted legitimately with a correct intonation in interactional communication with its pragmatic meaning (R. Suzuki 2007, Ceong 2019). If the ungrammatical sentences in (12) are presented as echo questions with a rising intonation, as shown in (13), they become grammatical.

- (13) a. *phihay-ka taytanha-ta-ko-↑* (Korean)
 damage-NOM be terrible-DEC-KO-RI
 ‘The damage was terrible?/ *The damage was terrible.’
- b. *sugoi-∅-to/tte-↑* (Japanese)
 be terrible-DEC-TO/TTE-RI
 ‘It was terrible?/*It was terrible.’

Thus, the clauses in (12) are ungrammatical in first-hand direct speech but they are grammatical in second-hand speech with a rising intonation (13). This contrasts with the English complementizer *that*, which does not occur in echo questions, as illustrated in (14).

- (14) *That the damage was terrible?

In everyday conversations, speakers of Korean may insert *ko* and speakers of Japanese may insert *to/tte* in independent clauses as a discourse device to interact with the speech participant. When an independent clause is marked by *ko* or *to/tte*, they are understood as a second-hand speech marker. I use *second-hand speech* as a superordinate term describing a speech act where the speaker is repeating or citing an utterance made by either a remote third party or an immediate speech participant (which can include the speaker themselves). Consider the simple declarative in a matrix clause in Korean (15a). (15a) is an example of first-hand speech, and (15b) and (15c) are second-hand speech.

- (15) a. *caymiesp-e* (Korean)
 not.interesting-COMP
 ‘It’s boring.’
- b. *caymiesp-ta-ko-↑*
 not.interesting-DEC-KO-RI
 ‘It’s boring?/Are you saying it is boring?’
- c. *kulay. caymiesp-ta-ko-↓*
 Yes. not.interesting-DEC-KO-FI
 ‘Yes. (I SAID) it is boring!’ (*didn’t you hear what I said?*)

Ko with rising intonation (15b) embeds an utterance of one of the speech participants in the immediate presence of the speaker, while *ko* with a falling intonation (15c) embeds an utterance of the speaker themselves (Ceong 2019 and references cited there). The Japanese *to/tte* also exhibits quite similar properties in matrix clauses. When *to/tte* appears at the right peripheral position in those clauses, it carries pragmatic-related functions (Okamoto 1995, Hayashi 1997, S. Suzuki 1999, Shibasaki 2007, R. Suzuki 2008,), as in (16).

- (16) a. tumaranai. (Japanese)
 boring.PRES.DEC
 ‘It’s boring.’
- b. tumaranai- \emptyset -**to**/**tte**- \uparrow
 boring.PRES-DEC-TO/TTE-RI
 ‘It was boring?’ (cf. Okamoto and Ono, 2008)

The markers *to*/*tte* in (16b) with a rising intonation indicates that the speaker is repeating a remark made by the addressee. Thus, the presence of *ko* and *to*/*tte* implies the second-hand speech nature of these clauses. The same markers with a falling intonation would also express a second-hand speech nature, but a different type: repeating a remark made by the speaker (i.e., affirming or emphasizing the speaker’s own utterance). With a falling intonation, *ko* and *to*/*tte* mark a speaker’s stance (Okamoto 1996, Sohn 2015).

- (17) a. cengmal-i-la-ko. nay-ka an hay-ss-ta-**ko** (Korean)
 true-be-DEC-KO I-NOM not do-PST-DEC-KO
 ‘(I said) it is true! (I said *that*) I didn’t do that!’
- b. hontoo-da-**tte**. ore puroppozu nanka sitenai-**tte** (Japanese)
 true-be.DEC-TTE I propose-such a thing do.not.PRES.DEC-TTE
 ‘It’s true, really. I didn’t propose marriage to her, really.’ (Okamoto 1996: 228)

I do not present the details of their pragmatic contexts here. One can refer to other studies for the discourse analysis of spoken Korean and Japanese, including various contexts for the distribution of the so-called sentence final particles *ko* and *to*/*tte* at the right peripheral position (Okamoto 1996, Okamoto and Ono 2008, Shibasaki 2007, R. Suzuki 2008, Sohn 2015, Hirose and Nawata 2016). Overall though, what these functional markers do in this domain is encode the nature of the clause in second-hand speech. Moreover, *ko* and *to* in this domain indicate that the speech participants in echo and reinforcement constructions must share common ground (cf. Clark & Brennan, 1991). The covert performative predicate marked by *ko* and *to* must be interpreted as *I said* or *did you say* (i.e., *a speech participant said*) and cannot be *s/he or they said* (i.e., *a non-speech participant said*). If the clause in second-hand speech is originally uttered by a non-speech participant (in other words, if the speech participants do not share the common ground of the speech event reported), then the Korean hearsay *y* (Ceong 2016) and the Japanese *tte* are required.⁹

- (18) a. cengmal-i-la-y/***ko**. kyay-ka an hay-ss-ta-y/***ko** (Korean)
 true-be-DEC-HEARSAY 3sg-NOM not do-PST-DEC-HEARSAY
 ‘He/she said it is true! (He/she said *that*) he didn’t do that!’ (intended)
- b. hontoo-da-**tte**/***to** kare-wa puroppozu sitenainda-**tte**/***to** (Japanese)
 true-be.DEC-HEARSAY 3sg-TOP propose do.not.PRES.DEC-HEARSAY
 ‘(He/she said) it is true! (He/she said *that*) he didn’t do that!’ (intended)

⁹ According to Hayashi (1997), *to* might be used in this hearsay context by older speakers and/or speakers of some dialects of Japanese.

It is well documented that Japanese and Korean have extensive and varied kinds of V–V constructions (Jung 2003); the shared distributions of *ko* and *te* are also found in those constructions, such as desiderative constructions, as in (20), and chronological event constructions expressing two or more consecutive events without a conjunction, as in (21).

- (20) a. Ken-i meke-po-**ko** sip-ta-**ko** malhayss-ta (Korean)
 Ken-NOM eat-see-KO want-DEC-KO said-DEC
 ‘Ken said he wanted to try eating it.’
- b. Ken-ga tabe-**te** mi-tai-**to** itta- \emptyset (Japanese)
 Ken-NOM eat-TE see-want.PRES.DEC-TO said-DEC
 ‘Ken said he wanted to try eating it.’
- (21) a. ssis-**ko** o-l-key (Korean)
 wash-KO come-IRR-COMP
 ‘I will wash up and come.’
- b. arat-**te** kuru (Japanese)
 wash-TE come
 ‘I will wash up and come.’

In (20), similar to control clauses, the non-finite clause marked by *ko* or *te* is conjoined with the finite clause. The event expressed by the non-finite clause always occurs prior to the event in the finite clause. What are the universal properties of *ko* and *te* in this domain? Syntactically they behave like non-finite complementizers, although their semantic properties vary depending on local elements: i) expressing aspect with an auxiliary verb BE; ii) expressing desiderative events with an auxiliary verb WANT; and iii) expressing chronological events or functioning as a conjunction ‘and’ with lexical active verbs. If we only focus on their syntactic properties then based on their distribution, *ko* and *te* must be associated with C, as they occur between the verb and verb in the structure.

2.5 Summary

By treating formatives with identical morphophonological forms as multifunctional markers in synchronic grammar, I have compared the distributions of Korean *ko* and Japanese *to/te/te*. Instead of seeing them as different *kos*, they can be understood as ‘recycled’ formatives with three distinct morphosyntactic properties. In (22), repeated from (2), *ko* and *to/te/te* emerge through the associations between different syntactic domains and contexts.

- (22) a. [[[p_{hi}hay-ka taytanha-ta]-**ko** malha-**ko** iss-ess-ta]-**ko**]- \uparrow (Korean)
 damage-NOM be terrible-DEC-KO say-KO exist-PST-DEC-KO-RI
 ‘(Did you say they) were saying that the damage was terrible?’
- b. [[[sugoi- \emptyset]-**tte** it-**te** (i-)ta- \emptyset]-**tte**]- \downarrow (Japanese)
 be terrible-DEC-TTE say-TE exist-PST-DEC-TTE-FI
 ‘(I heard they) were saying that it was terrible.’ (cf. Okamoto and Ono 2008)

I propose that the structural representations in (23a) and (23b) show the organizations of the functional elements in Korean clause (22a) and the Japanese clause (22b), respectively.

(23)

- a. [RespP[GroundP[LinkngP[AnchoringP[Point-of-ViewP[ClassificationP *malha*] -*ko iss*]-*ess*]-*ta*] -***ko***]-↑]
 b. [RespP[GroundP[LinkngP[AnchoringP[Point-of-ViewP[ClassificationP *i*]-*te -i*] -*ta*] \emptyset]-***tte***]-↓]

The syntactic structures in (23) are adopted from Wiltschko (2014, 2017), which makes a theoretical assumption that a language-specific category is constructed through the association of a formative in that language with a hierarchically organized universal categorizer. There are differences between the structure in (23) and the canonical CP structure in generative grammar: i) the availability of two domains above LinkingP (\approx CP), namely GroundP and RespP; and ii) COMPLEMENTIZER is not a primitive universal category.

To summarize, the formatives *ko* and *to/tte* function as subordinators in contexts where there is a lack of interaction between speech participants; that is, when a matrix verb selects *ko* and *to/tte*, as in (24a). These particular formatives function as second-hand speech markers and may carry a semantic/pragmatic-related meaning when they are selected by a rising intonation associated with RespP; the domain RespP is activated in interactional communications where a response is required (25b).

(24)

	(a) low CP	(b) high CP
syntactic contexts	$C^0_{\text{TYPE}} < C^0_{\text{DEPENDENT}} < V^0$	$C^0_{\text{TYPE}} < C^0_{\text{INDIRECT}} < C^0_{\text{INTERACTION}}$
Korean	$C_{\text{DEC-ko}}$ Verb	$C_{\text{DEC-ko}}$ ↓↑ (echo) $C_{\text{DEC-y}}$ ↓↑ (hearsay)
Japanese	$C_{\text{DEC-to/tte}}$ Verb	$C_{\text{DEC-to/tte}}$ ↓↑ (echo) $C_{\text{DEC-tte}}$ ↓↑ (hearsay)

Seemingly the same formatives occur ubiquitously in a domain lower than CP in both Korean and Japanese, as in (25).

(25)

syntactic contexts	$V^0 < C^0_{\text{DEPENDENT}} < V^0$ IMPERFECTIVE ASPECT	$V^0 < C^0_{\text{DEPENDENT}} < V^0$ DESIDERATIVE	$V^0 < C^0_{\text{DEPENDENT}} < V^0$ CHRONICAL EVENTS
Korean	<i>ssu-ko iss-</i> 'be reading'	<i>ssu-ko sip-</i> 'want to write'	<i>ssu-ko ka-la</i> 'write it and go'
Japanese	<i>kai-te i-</i> 'be writing'	<i>kai-te mita-</i> 'want to write'	<i>kai-te i-ke</i> 'write it and go'

The syntactic behaviours of *ko* and *to/tte/te* discussed in this section are summarized in the table below.

(26)

syntactic contexts and formatives	<i>-ko</i>	<i>to/tte/te</i>
subject position	X	X
thematic objection position with an accusative case	X	X
complement of attitude/communication verbs	✓	<i>to/tte</i>
complement of an auxiliary verb ‘exist; be’	✓	<i>te</i> only
complement of a desiderative verb ‘want’	✓	<i>te</i> only
complement of an active verb	✓	<i>te</i> only
complement of rising intonation in echo	✓	<i>to/tte</i>
complement of rising intonation in hearsay	X	<i>tte</i> only

4. Conclusions

I have presented a brief comparison of the distributions of a pair of recycled functional markers in Korean and Japanese which exhibit similar morphosyntactic properties. The characteristics of *ko* and *to/tte/te* in their three distinct distributions have been demonstrated. For want of space, this paper leaves other distributions of *ko* and *to/tte* (namely, *ko* as a declarative question marker (Kim 2015) and *tte* as a topic marker (Okamoto and Ono 2008, R. Suzuki 2008, Hirose and Nawata 2016)) for future study.

Although I have not attempted to provide an answer here, it is worthwhile to ask why *ko* and *to/tte* appear so uniformly across syntactic domains. Is their multifunctionality related to areal typology or universal linguistic typology? Is there some system of regulation governing the recycling of functional markers? Taking the stance that Korean *ko* and Japanese *to/tte* are multifunctional morphosyntactic elements in synchronic grammar, their distribution can be further compared with that of *que* in Spanish, a typologically unrelated language that nevertheless shows similar multifunctionality (Corr 2016).¹³

This study adds to the contributions made by some scholars who have shown their consistent interests in comparison of morpho-syntactic structure between Korean and Japanese, including Horie (2000) and Yeon (2008). This study differs from two previous studies in that I have focused on the distributions of particular formatives rather than on comparing a particular construction. A careful study of the connection between the distribution of multifunctional elements in synchronic grammar and the grammaticalization of functional elements in diachronic grammar may provide an answer to how these languages are equipped with formatives systematically in their ‘recycling’ systems.

¹³ The distribution of *to/tte* is closer to the Spanish *que* than to the English *that*, as pointed out by Saito (2012).

References

- Bhatt, Rakesh, and James Yoon. 1991. On the composition of COMP. In *Proceedings of the 10th West Coast Conference on Formal Linguistics*. Cascadilla Press.
- Bošković, Željko, and Howard Lasnik. 2003. On the distribution of null complementizers. *Linguistic Inquiry*, 34(4), 527-546.
- Bruhn de Garavito, Joyce. 2000. The syntax of Spanish multifunctional categories and near-native competence. (PhD), McGill University.
- Ceong, Hailey Hyekyeong. 2016. Korean hearsay constructions and speech acts. In *Proceedings of the Annual conference of the Canadian Linguistic Association*, Calgary, ed. Lindsay Hracs.
- Ceong, Hailey Hyekyeong. 2019. The morphosyntax of clause typing: Single, double, periphrastic, and multifunctional complementizers in Korean. (PhD), University of Victoria.
- Clark, Herbert, and Susan Brennan. 1991. Grounding in communication. *Perspectives on socially shared cognition*, 13(1991), 127-149.
- Corr, Alice. 2016. Ibero-Romance and the syntax of the utterance. (PhD), University of Cambridge.
- Fukui, Naoki. 1995. The principles-and-parameters approach: A comparative syntax of English and Japanese. In *Approaches to language typology*, ed. Masayoshi Shibatani and Theodora Bynon, 327-372, Oxford: Clarendon Press.
- Han, Chung-hye and Chungmin Lee. 2007. On negative imperatives in Korean. *Linguistic Inquiry*, 38(2): 373–395.
- Hayashi, Makoto. 1997. An exploration of sentence-final uses of the quotative particle in Japanese spoken discourse. *Japanese/Korean Linguistics*, 6, 565-581.
- Hirose, Tomio, and Hiroyuki Nawata. 2016. On the quotative “complementizer”-*tte* in Japanese. *Semantics-Syntax Interface*, 3(1), 1-29.
- Horie, Kaoru. 2000. Complementation in Japanese and Korean: A contrastive and cognitive linguistic approach. In *Complementation*, ed. Kaoru Horie, 11-31. Amsterdam: John Benjamins Publishing Company.
- Jung, Dukkyo. 2003. The morphosyntax of complex predicates in Korean and Japanese. (PhD), The University of Wisconsin-Madison, Ann Arbor.
- Kaiser, Stefan, Yasuko Ichikawa, Noriko Kobayashi, and Hilofumi Yamamoto. 2013. *Japanese: A comprehensive grammar*. New York: Routledge.
- Kim, Mary Shin. 2015. A distinct declarative question design in Korean conversation: An examination of turn-final *ko* questions. *Journal of Pragmatics*, 79, 60-78.
- King, Ross. 1994. History of reported speech in Korean. *Korean Linguistics*, 8, 1-38.
- Lefebvre, Claire. 1998. Multifunctionality and variation among grammars: The case of the determiner in Haitian and in Fongbe. *Journal of Pidgin and Creole Languages*, 13(1), 93-150.
- Motomura, Mitsue. 2002. The thematic roles of sentential *to/ko* complements in Japanese/Korean. *Japanese/Korean Linguistics* 11, ed. Patricia M. Clancy, 439–454.
- Munakata, Takashi. 2007. Phase to phase: Complementizer-to as a bridge. *MIT Working Papers in Linguistics*, 55 (*Proceedings of Formal Approaches to Japanese Linguistics* 4), 173–184.
- Nishiyama, Kunio. 2016. The theoretical status of *ren'yoo* (stem) in Japanese verbal morphology. *Morphology*, 26(1), 65-90.
- Okamoto, Shigeko. 1996. Pragmaticization of meaning in some sentence-final particles. In *Essays in semantics and pragmatics in honor of Charles J. Fillmore*, ed. Masayoshi Shibatani and Sandra Thompson, 219-246. Amsterdam: John Benjamins Publishing.

- Okamoto, Shigeeko and Tsuyoshi Ono. 2008. Quotative *tte* in Japanese: Its multifaceted functions and degrees of “subordination”. In *Crosslinguistic studies of clause combining: The multifunctionality of conjunctions*, ed. Ritva Laury, 205-230. Amsterdam: John Benjamins Publishing.
- Ryu, Ju-Yeon, Kaoru Horie, and Yasuhiro Shirai. 2015. Acquisition of the Korean imperfective aspect markers *-ko iss-* and *-a iss-* by Japanese learners: A multiple-factor account. *Language Learning*, 65(4), 791–823.
- Saito, Mamoru. 2012. Sentence types and the Japanese right periphery. In *Discourse and grammar: From sentence types to lexical categories*, ed. Günther Grewendorf, Zimmermann, and Thomas Ede, 147-175. Berlin: Walter de Gruyter.
- Saito, Mamoru. 2015. Cartography and selection: Case studies in Japanese. In *Beyond functional sequence*, ed. Ur Shlonsky, 255-274. New York: Oxford University Press.
- Sells, Peter. 1995. Korean and Japanese morphology from a lexical perspective. *Linguistic Inquiry*, 26(2), 277-325.
- Shibasaki, Reijirou. 2007. Ellipsis and discourse-syntactic structures in Japanese interview discourse: The emergence of the evidential marker *to*. *Language and Linguistics*, 8(4), 939-966.
- Sohn, Sung-Ock. 2011. Historical development of quotative constructions in Korean. *Japanese/Korean Linguistics*, 18, 126-143.
- Sohn, Sung-Ock. 2015. The emergence of utterance-final particles in Korean. In *Final Particles*, ed. Sylvie Hancil, Alexander Haselow, and Margje Post, 181-195. De Gruyter.
- Shimamura, Koji. 2018. The theory of quotative complementation in Japanese semanticsyntax. (Ph.D.), University of Connecticut.
- Shirai, Yasuhiro. 1998. Where the progressive and the resultative meet: Imperfective aspect in Japanese, Chinese, Korean and English. *Studies in Language*, 22(3), 661–692.
- Suzuki, Ryoko. 2007. (Inter)subjectification in the quotative “*tte*” in Japanese conversation: Local change, utterance-ness and verb-ness. *Journal of Historical Pragmatics*, 8(2), 207–237.
- Suzuki, Ryoko. 2008. Quoting and topic-marking: Some observations on the quotative *tte* construction in Japanese. In *Crosslinguistic studies of clause combining: The multifunctionality of conjunctions*, ed. Ritva Laury, 231–246. Amsterdam: John Benjamins Publishing.
- Suzuki, Satoko. 1999. Marker of unexpected statements: An analysis of the quotative particle *datte*. *The Journal of the Association of Teachers of Japanese*, 33(1), 44–67.
- Travis, Lisa. 2005. Lexical, functional, crossover, and multifunctional categories. In *Handbook of categorization in cognitive science*, ed. Henri Cohen and Claire Lefebvre, 319-346. New York City: Elsevier.
- Uchibori, Asako. 2000. The syntax of subjunctive complements: Evidence from Japanese. (Ph.D.). University of Connecticut.
- Yeon, Jaehoon. 2008. Morpho-syntactic contrasts between Japanese and Korean. *Rivista degli studi orientali*, 81(1), 321-337.
- Yoshida, Tomoyuki. 2019. Complement selection and *wh*-scope in Japanese. *Journal of East Asian Linguistics*.
- Wiltschko, Martina. 2014. *The universal structure of categories: Towards a formal typology*. Cambridge: Cambridge University Press.
- Wiltschko, Martina. 2017. Ergative constellations in the structure of speech acts. In *The oxford handbook of ergativity*, ed. Jessica Coon, Diane Massam, and Lisa Travis, 1-31. Oxford: Oxford University Press.